

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Richard S. DICK

Serial No.: 10/057,337

Filed: January 23, 2002

For: METHOD AND APPARATUS FOR  
REQUESTING AND RETRIEVING DE-  
IDENTIFIED MEDICAL  
INFORMATION

Group Art Unit: 3626

Examiner: Christopher L. Gilligan

Atty. Dkt. No.: UHGI:152US

Confirmation No.: 3331

CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this correspondence is being  
electronically filed with the United States Patent and  
Trademark Office via EFS-Web on the date below:

May 11, 2007  
Date

  
Michael C. Barrett

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. §§ 1.97(g), (h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

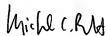
The present Supplemental Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/UHGI:152US.

This application is related by inventorship and subject matter to co-pending U.S. application numbers 09/794,983, filed February 27, 2001, 10/056,236, filed January 23, 2002, and 09/883,884, filed June 18, 2001.

The required fees in the amount of \$180.00 in connection with the filing of this paper are being charged to a credit card through EFS-Web concurrently with this submission. The Commissioner is hereby authorized to deduct any underpayment of fees or any additional fees required under 37 C.F.R. §§ 1.16 to 1.21 in connection with the filing of this paper from Fulbright & Jaworski Deposit Account No.: 50-1212/UHGI:152US.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,



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Date: May 11, 2007

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|---|---|----------------------------------|---------------------------|
| Form PTO-1449 (modified)  |   | Atty. Docket No.:<br>UHGI:152US  | Serial No.:<br>10/057,337 |
| List of Patents and Publications for Applicant's<br><br>INFORMATION DISCLOSURE STATEMENT<br><br>(Use several sheets if necessary) |   | Applicant:<br>Richard S. DICK    |                           |
|   |   | Filing Date:<br>January 23, 2002 | Group:<br>3626            |
| U.S. Patent Documents<br><i>See Page 1</i>  | Foreign Patent Documents<br><i>See Page 1</i> | Other Art<br><i>See Page 1</i>   |                           |

### U.S. Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date     | Name                  | Class | Sub Class | Filing Date of App. |
|-------------|-----------|-----------------|----------|-----------------------|-------|-----------|---------------------|
|             | A1        | 2001/0042080    | 11/15/01 | Ross                  | 715   | 506       | 05/09/01            |
|             | A2        | 2001/0049681    | 12/06/01 | Bova                  | 707   | 10        | 12/04/00            |
|             | A3        | 2002/0072934    | 06/13/02 | Ross <i>et al.</i>    | 705   | 3         | 07/09/01            |
|             | A4        | 2002/0073429    | 06/13/02 | Beane <i>et al.</i>   | 725   | 105       | 10/09/01            |
|             | A5        | 2002/0111833    | 08/15/02 | Dick                  | 705   | 3         | 01/23/02            |
|             | A6        | 5,737,539       | 04/07/98 | Edelson <i>et al.</i> | 705   | 3         | 10/28/94            |
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|             | A8        | 6,283,761       | 09/04/01 | Joao                  | 434   | 236       | 12/31/99            |
|             | A9        | 6,675,166       | 01/06/04 | Bova                  | 707   | 10        | 12/04/00            |

### Foreign Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | Country | Language |
|-------------|-----------|-----------------|------|---------|----------|
|             |           |                 |      |         |          |

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam. Init. | Ref. Des. | Citation |
|-------------|-----------|----------|
|             |           |          |

25775879.1

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.